# Fabrication of carbon nanofiber(CNF)-dispersed  $Al_2O_3$ composites by pulsed electric-current pressure sintering and their mechanical and electrical properties

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Abstract Dense  $Al_2O_3$ -based composites ( $\geq 99.0\%$  of theoretical) dispersed with carbon nanofibers (CNFs) were fabricated using the pulsed electric-current pressure sintering (PECPS) for 5 min at  $1300^{\circ}$ C and 30 MPa in a vacuum. The dispersion of CNFs into the matrix depended much on the particle size of the starting  $Al_2O_3$  powders. Mechanical properties of the composites were evaluated in relation with their microstructures; high values of threepoint bending strength  $\sigma_b$  (~800 MPa) and fracture toughness  $K_{\text{IC}}$  (~5 MPa·m<sup>1/2</sup>) were attained at the composition of CNF/Al<sub>2</sub>O<sub>3</sub> = 5:95 vol%, which  $\sigma_{\rm b}$  and  $K_{\rm IC}$  values were  $\sim$ 25% and  $\sim$ 5%, respectively, higher than those of monolithic  $Al_2O_3$ . This might be due to the small  $Al_2O_3$ grains  $(1.6 \mu m)$  of dense sintered compacts compared with that (4.4  $\mu$ m) for the pure Al<sub>2</sub>O<sub>3</sub> ceramics, resulting from the suppression of grain growth during sintering induced by uniformly dispersed CNFs in the matrix. Electrical resistivity of  $CNF/Al<sub>2</sub>O<sub>3</sub>$  composites decreased rapidly from  $>10^{15}$  to  $\sim 2.1 \times 10^{-2}$  Ωm (5vol%CNF addition), suggesting the machinability of  $Al_2O_3$ -based composites by electrical discharge machining.

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## Introduction

Since the revival of carbon whiskers as carbon nanotubes (CNTs) which have been reported first by Iijima in 1991 [[1\]](#page-8-0), and carbon nanofibers (CNFs) for composite applications, there has been increasing research and development into methods of dispersing and functionalizing these materials to exploit their theoretical potential as superior functional additives and reinforcements. Although academic researches and scientific interests have been mainly focused on single-wall nanotubes (SWCNT) and multi-wall nanotubes (MWCNT), many of the world technical achievements can directly be transformed on carbon nanofibers (CNFs) [\[2](#page-8-0)]. At least in the composite application areas CNFs are considered as relatively thick and long nanotubes without compromising on composite properties in comparison with CNTs (SWNT and MWNT) dispersed in composites, especially with respect to realistic time to market scenarios [\[3](#page-8-0)].

Thus, carbon nanofibers (CNFs) have lately attracted remarkable attention as reinforcements of materials because of their exceptional mechanical (the tensile strength of ~2.20 GPa and modulus of 100–300 GPa for a  $0.15 \mu m$  diameter nanofibers) and electrical properties (graphitized CNFs exhibits a high conductivity of  $(1 \times 10^6 \text{ Sm}^{-1})$  [[2\]](#page-8-0). However, studies on the ceramic-CNF composites have been rarely reported. One of the reasons of this, the mechanical properties of these composites have not been so much improved with addition of CNTs (CNFs); this has been explained by lack of good dispersion of CNTs (CNFs) and the poor cohesion between the CNTs (CNFs) and the matrix. Therefore, the fabrication of homogeneous nanocomposites with carbon nanotubes (CNTs) and also carbon nanofibers (CNFs) remains a technical challenge.

In the present study, fabrication of alumina-CNF composites has been tried to investigate the effect of CNFs addition on the mechanical and electrical properties. Previous studies [[4–6\]](#page-8-0) made it cleared that when CNTs were added to an alumina and sintered by the hot press and/or hot extrusion method, the mechanical strength of the composites was not improved; this was attributed to aggregation (or inhomogeneous dispersion) of CNTs in the composite materials because CNTs always formed aggregates owing to very strong van der Waals interactions [[7,](#page-8-0) [8\]](#page-8-0).

Pulsed electric-current pressure sintering (PECPS), or spark plasma sintering (SPS), is a newly developed process, which can consolidate powder compact by applying an on-off dc electric pulse under uniaxial pressure [[9\]](#page-8-0). During the sintering, a spark discharge at the narrow gaps between particles induces a high-temperature state locally. When a stacked powder exhibits a high electrical conductivity, the dc pulse passes through the powder compact, generating (1) spark plasma, (2) spark impact pressure, (3) Joule heat, and (4) an electric field among the particles. In General, mechanical properties of polycrystalline inorganic materials depend much on their microstructures or nanostructures; higher densities and smaller grain sizes bring the materials excellent properties, such as high bending strength and fracture toughness. PECPS or SPS processes have been reported to enhance the densification of ceramics or metal powders at relatively low sintering temperatures and short soaking times in comparison with those for conventional hot pressing.

In the present study, PECPS was utilized to fabricate dense  $CNF/Al<sub>2</sub>O<sub>3</sub>$  composites in order to retain the CNFs in the matrix, i.e., not to form other compounds by the solid-state reaction. Their mechanical properties such as bending strength  $\sigma_{\rm b}$ , Vickers hardness  $H_{\rm v}$  and fracture toughness  $K_{\text{IC}}$ , as a function of CNF content and electrical resistivity were measured and discussed in relation with their microstructures.

## Experimental

#### Fabrication of CNF-dispersed  $Al_2O_3$  composites

Carbon nanofiber (CNF) ''VGCF-H'' (~150 nm in diameter and  $4-5 \mu m$  in length,  $-99\%$  purity, Showa Denko K.K., Tokyo, Japan) and a various kinds of  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> powders namely, "AES-23" (average particle size  $(P_s)$  of  $~1.1 \mu m$ , 99.9% purity) produced by the conventional Bayer method, and sol-gel derived high purity (>99.99%) "AKP-20" ( $P_s$  of ~0.5 µm), "AKP-30" ( $P_s$  of ~0.3 µm), and "AKP-50" ( $P_s$  of ~0.2 µm), which were supplied from Sumitomo Chemical Co., Ltd., Osaka, Japan, were used as starting materials. Zeta potentials of CNFs and 4 kinds of  $Al<sub>2</sub>O<sub>3</sub>$  powders in the dilute aqueous suspensions (0.01wt%) were measured with a zeta potential analyzer (''Photal Z-1000'', Otsuka Electronics Co., Ltd., Osaka, Japan). Each sample was ultrasonicated for 15 min prior to measurement.

Before mixing CNFs with  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> powders, the former CNFs were pre-dispersed into regent grade 2-propanol using an ultrasonic vibrating homogenizer with a power output of 300 W at frequency of 20 kHz (''US-300T'', Nihonseiki Ltd., Tokyo, Japan) for 15 min. After  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> powder was added into the solution, mixed slurries with the compositions of  $CNF/Al_2O_3 = 0/100~10/90$  vol<sup>%</sup> were homogenized using the same ultrasonic vibrator for 15 min. Powder mixtures were prepared by drying the slurries with stirring at room temperature in air. The mixtures were uniaxially pressed into disks with a 16-mm diameter and a ~5 mm thick at 30 MPa. The compressed powder compact was put into a cylindrical mold  $(16 \text{ mm}^4)$  $^{1}$ 40 mm<sup>b</sup><sub>-40</sub> mm<sup>h</sup>, high-density graphite carbon) that was used for a pulsed electric-current pressure sintering apparatus (''SPS-5104A'', Sumitomo Coal Mining Co., Ltd., Tokyo, Japan). Green densities of compressed mixtures were 2.20 for pure  $Al_2O_3$  (AKP-30) and 2.02 Mg·m<sup>-3</sup> for  $5\text{vol}\%$ CNF/95vol%Al<sub>2</sub>O<sub>3</sub>(AKP-30) powders; these values corresponded to 55.1% and 52.0% of their theoretical densities,  $3.987$  and  $3.89 \text{ Mg} \cdot \text{m}^{-3}$ , respectively, which were calculated using the theoretical densities of 3.987 for  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> [\[10](#page-8-0)] and 2.0 Mg·m<sup>-3</sup> for CNFs [[11\]](#page-8-0). Using the PECPS apparatus, sintering was performed at 1200° to 1300°C for 5 min under an uniaxial pressure of 30 MPa in a vacuum  $(-10^{-2}$  Pa) with a heating rate of  $100^{\circ}$ C/min and a cooling rate of  $\sim 50^{\circ}$ C/min, applying dc electric-current (on/off pulse interval  $= 12:2$ ) the mold, plungers, and the sample. Heating temperature was measured at the center position about 5 mm far from the inside wall of carbon mold using a Pt–Rh thermocouple (JIS B-type: Pt/Rh30%–  $Pt/Rh6\%, 0.5 mm<sup>Φ</sup>$ ).

Because dense monolithic  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> ceramics, their bulk density being 3.96 Mg·m<sup>-3</sup> i.e., 99.3% of theoretical density, were obtained at 1300  $\degree$ C, as will be described later, CNF-dispersed  $Al_2O_3$  composites were also sintered at the same temperature. To study the effect of CNFs addition to  $Al<sub>2</sub>O<sub>3</sub>$  powder compact during sintering, shrinkage profiles of the powder compacts (monolithic and  $5\text{vol}\%$ CNF/Al<sub>2</sub>O<sub>3</sub> composites) were taken in the same direction of applying pressure. Before and after sintering, SEM (FE-SEM, ''S-4300'', Hitachi, Co., Ltd., Tokyo, Japan) observation was conducted on the fracture surfaces of the powder and sintered compacts to investigate the dispersion of CNFs in the  $Al_2O_3$  powder with various particle sizes.

<span id="page-2-0"></span>Evaluation of microstructures and mechanical and electrical properties of sintered compacts

After cutting and lapping with a diamond saw and a diamond paste (nominal size  $1-3 \mu$ m), respectively, bulk densities of the sintered materials were measured by Archimedes method. X-ray diffraction (XRD) analysis using  $CuK\alpha1$  radiation with a graphite monochromator was utilized for determination of their crystalline phases. After phase identification, testing samples for mechanical-property measurements were cut from the ceramics with a diamond saw and then polished the four sides to mirror surface with a diamond paste. Threepoint bending strength  $\sigma_b$  was measured under the conditions of cross-head speed of 0.5 mm/min and an 8 mm span length. Vickers hardness  $H_v$  and fracture toughness  $K_{\text{IC}}$  were evaluated; measuring conditions for  $H<sub>v</sub>$  were that an applying load of 19.6 N and a duration time of 15 s, and the indentation fracture method (IF) with Niihara's equation [[12\]](#page-8-0) were adopted to determine the  $K_{\text{IC}}$  values. Direct current (dc) electrical resistivity  $(\rho)$  of monolithic and composite materials were measured at room temperature by van der Pauw method [\[13](#page-8-0)] using the samples with four Ag-electrodes formed on their surfaces.

# Results and discussion

Preliminary experiments revealed that the powder with  $P_s$ of  $\sim$ 0.3 µm (AKP-30) could be regarded as a representative of the  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> powders used in this study; AKP-30 powder represented the characteristics of sintering process and sintered bodies.

Consolidation of monolithic  $Al_2O_3$  powder with  $P_s$ of  $\sim 0.3 \mu$ m

The shrinkage of powder compact was in situ monitored during PECPS process. Figure  $1(i)$  shows the displacement

Fig. 1 Shrinkage profiles of (i) pure  $Al_2O_3$  powder (AKP-30,  $P_s$ ~0.3 µm) compact and (ii) a mixed powder compact with the composition of CNFs/  $Al_2O_3(AKP-30) = 5:95vol\%,$ and (iii) a heating temperature as a function of soaking time;  $(a)$ ,  $(b)$  and  $(c)$  denote Z-axis displacements rates for pure Al2O3 powder compact at 1200 °C, 1250 °C and 1300 °C, respectively

profile of Z-axis parallel to the pressing direction as a function of soaking time. The shrinkage curve was divided into two step-regions: (1) room temperature  $(R.T.)\sim900\textdegree C$ and (2)  $900^{\circ} - 1300^{\circ}$ C; in the former region a thermal expansion of green powder compact was observed and a rapid shrinkage of sample was recognized in the latter. Figure [2](#page-3-0) shows SEM photographs of fracture surfaces for samples obtained at (a)  $1200^\circ$  and (b)  $1250^\circ$ C, indicated by arrows in Fig. 1(i); their average grain size  $(G<sub>s</sub>)$  determined by an intercept method [\[14](#page-8-0)] were  $\sim 0.3$  and  $\sim 0.6$  µm, respectively. These samples having relative densities of (a)  $\sim$ 91.0% and (b)  $\sim$ 97.3% revealed that both temperatures were not enough to fabricate dense  $Al_2O_3$  ceramics ( $\geq$ 99.0%). Dense monolithic Al<sub>2</sub>O<sub>3</sub> ceramics with a high relative density of 99.3% could be obtained at  $1300^{\circ}$ C by PECPS, in addition as will be shown in the later figures, exhibiting homogeneous and well-developed microstructures consisting of  $G_s$  4.4  $\mu$ m (Table [1\)](#page-3-0). From this result, the sintering temperature using PECPS was fixed at  $1300 °C$ .

Dispersion of CNFs into  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> powders with various particle sizes

Figure [3](#page-3-0) displays an SEM photograph of weak agglomerated carbon nanofibers, CNFs (''VGCF-H''), used in this study after ultrasonicating in 2-propanol, showing straight fibers with a constant diameter  $(-150 \text{ nm})$  along the fiber length  $(-4-5 \mu m)$ . Small semispherical tips are transition metal particles utilized as catalyst at a growth temperature of  $1000-1300$  °C during the production of CNFs by a vapor-grown carbon fiber (VGCF) method [[2\]](#page-8-0). As CNFs were graphitized after VGCF process by heating near 3000  $^{\circ}C$ , amorphous carbon thin layer  $(-5 \text{ nm})$  was formed on the external surface of CNFs [[2\]](#page-8-0). Dispersion of CNFs in the  $Al_2O_3$  powders was confirmed by SEM observation on the fracture surface of the compressed powder compacts. Figure [4\(](#page-4-0)a) indicates a strong agglomeration of CNFs



<span id="page-3-0"></span>

Fig. 2 SEM photographs for fracture surfaces of monolithic  $Al_2O_3$ ceramics sintered for 5 min at (a)  $1200 \degree C$  and (b)  $1250 \degree C$  as indicated in Fig. 1

among large  $\text{Al}_2\text{O}_3$  powders with  $P_s$  of ~1.1 µm, however, Fig. [4](#page-4-0)(b–d) reveal no agglomeration of CNFs in the powder compacts, in addition, (5vol%) fibers were dispersed well in the  $Al_2O_3$  powders consisting of particles of which  $P_s$  are less than 0.5  $\mu$ m.

To investigate the other factors affecting the dispersion of CNFs in the matrix, zeta potential  $(\zeta)$  of the starting materials was measured. It was reported that the CNTs were negatively charged, and carbon black and CNTs

**Table 1** Characteristics of monolithic  $Al_2O_3$  and carbon-nanofiber(CNF)/Al<sub>2</sub>O<sub>3</sub> composite materials fabricated by PECPS at 1300 °C and 30 MPa for 5 min in a vacuum

Contents of Theoretical $CNF$ (vol%) density	$(Mg·m-3)*$	Bulk density $(Mg\cdot m^{-3})$	Relative density $(\%)$	Grain size of $Al_2O_3$ matrix
$0$ (pure $Al_2O_3$	3.987	3.96	99.3	4.4
1	3.967	3.94	99.3	3.2
3	3.927	3.88	98.8	1.7
5	3.888	3.85	99.0	1.7
10	3.788	3.71	97.9	1.6

\* calculated using theoretical densities of  $D_x(\text{Al}_2\text{O}_3)$ =3.987 Mg·m<sup>-3</sup> [\[10\]](#page-8-0) and  $D_x(CNF)=2.00 \text{ Mg}\cdot\text{m}^{-3}$  $D_x(CNF)=2.00 \text{ Mg}\cdot\text{m}^{-3}$  $D_x(CNF)=2.00 \text{ Mg}\cdot\text{m}^{-3}$  [2]



Fig. 3 An SEM photograph of carbon nanofibers (CNFs)

revealed a very similar shape to curve of  $\zeta$  vs. pH plots [[8\]](#page-8-0). Therefore, zeta potential  $\zeta$  for the CNFs was thought to be a similar of the pristine CNTs: the  $\zeta$  value of CNFs near  $pH-7$  was  $-23$  mV in contrast to  $-18$  mV for CNTs [\[8](#page-8-0)]. On the other hand, the  $\zeta$  for the Al<sub>2</sub>O<sub>3</sub> powders with various  $P_s$ revealed almost constant values  $(+4.5 \sim -0.9 \text{ mV})$ , which are consistent with the previous reported values  $(+20 \sim -$ 10 mV at pH  $6.5 \sim 7.5$ ) for  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> powder ( $P_s$ :0.03– 0.1  $\mu$ m in diameter [[8\]](#page-8-0)).

From these results, it might be concluded that the dispersion of CNFs depended much on the  $P_s$  of starting  $Al_2O_3$  powders; good dispersion could be achieved in fine Al<sub>2</sub>O<sub>3</sub> less than  $\sim$ 0.5 µm. This might be confirmed in the consolidated compacts sintered at 1300  $\degree$ C for 5 min under 30 MPa by PECPS. Figure [5](#page-4-0) shows SEM photographs for fracture surfaces of 3vol%CNF added composite materials fabricated from  $Al_2O_3$  powders with  $P_s$  of (a) ~1.1 µm and (b)  $\sim$ 0.3  $\mu$ m; agglomeration of CNFs was clearly recognized in the former sample and good dispersion was observed in the latter. These photographs also support the above-mentioned conclusions. Hereafter, only  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> powder (AKP-30) with  $P_s$  of 0.3 µm was utilized in this study.

# Microstructure of  $CNF/Al<sub>2</sub>O<sub>3</sub>$  composite materials

Monolithic and composite materials with the compositions of CNF/Al<sub>2</sub>O<sub>3</sub> = 0:100, 1:99, 3:97, 5:95, and 10:90vol% were fabricated by PECPS at 1300°C for 5 min under 30 MPa. A shrinkage profile for a 5vol%CNF dispersed  $Al_2O_3$  powder compact was also shown in Fig. [1\(](#page-2-0)ii). In comparison with that for monolithic  $Al_2O_3$  powder compact as-mentioned in 3.1, a composite powder compact exhibited both a large expansion between R.T. and  $\sim$ 900 °C and a relative moderate shrinkage above  $\sim$ 900 °C up to  $1300 \text{ °C}$ ; this might be due to a higher thermal

<span id="page-4-0"></span>Fig. 4 SEM photographs for fracture surfaces of compressed powder compacts; 5vol%CNFs were dispersed into  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> powders with the average particle size  $P_s$  of (a) ~1.1 µm, (**b**)  $-0.5 \mu m$ , (**c**)  $-0.3 \mu m$ , and (d)  $-0.2 \mu m$ 



expansion coefficient ( $\sim$ 27  $\times$  10<sup>-6</sup>/K [\[15](#page-8-0)]) of graphite<sup>1</sup> normal to c-axis than that  $(-8.8 \times 10^{-6}$  /K [[15\]](#page-8-0)) for polycrystalline  $Al_2O_3$ . As the relative density (52.1%) of the 5vol% CNF added powder compact was a little lower than that (55.1%) of monolithic powder compact, and further the relative densities of sintered materials were almost the same (99.3% for monolithic and 99.0% for composite, as indicated in Table [1](#page-3-0)), the Z-axis displacement rate  $(-0.45)$ of the composite at room temperature was a little larger than that  $(-0.43)$  for monolithic sample as shown in Fig. [1](#page-2-0)(i) and (ii). Figure [6](#page-5-0) shows XRD patterns of pulverized samples from (a) monolithic  $Al_2O_3$ , (b)  $3\text{vol}\%$ CNF/Al<sub>2</sub>O<sub>3</sub>, (c)  $5\text{vol}\%$ CNF/Al<sub>2</sub>O<sub>3</sub> and (d)  $10\text{vol}\%$  $CNF/Al_2O_3$  composite materials sintered at 1300°C. In the samples with 0vol% (monolithic) and 1vol%CNF addition only  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> (corundum) peaks were observed, however, more than 3vol%, graphite (002) different peak was detected. This was explained in terms of the small fraction of CNFs in the composites; only the most intensive peak (002) at  $2\theta \sim 25.94^{\circ}$  (CuK $\alpha$ ) [[17\]](#page-8-0) could be noticed. Furthermore, any other peaks were not recognized. This could suggest either no solid-state reaction between CNFs and  $Al_2O_3$  during PECPS or too small amounts of compounds formed between amorphous carbon thin layer on the surface of CNFs and fine  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> powder. Based on the former, the XRD results supported the occurrence of CNFs in the composites, as will be proved in the later by direct SEM observation. And in the latter case, strong cohesion force between CNFs and Al<sub>2</sub>O<sub>3</sub> would be present. Figure [7](#page-5-0) exhibits SEM photographs for fracture surfaces



Fig. 5 SEM photographs for fracture surfaces of sintered composite materials fabricated from (a)  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> ( $P_s \sim 1.1$  µm) and (b)  $\alpha$ -Al<sub>2</sub>O<sub>3</sub>  $(P_s \sim 0.3 \mu m)$ ; 3vol%CNFs were dispersed to both materials and sintered at 1300 °C for 5 min under 30 MPa in a vacuum

of (a) monolithic  $Al_2O_3$ , (b)  $5\text{vol}\% \text{CNF}/Al_2O_3$ , and (c)  $10$ vol%CNF/Al<sub>2</sub>O<sub>3</sub> composite materials. Dense homogeneous microstructure consisting of large  $Al_2O_3$  grains of

<sup>&</sup>lt;sup>1</sup> Thermal expansion coefficient  $\alpha$  of carbon nanofiber has not been reported, irrespective of many reports of carbon nanotubes [[1](#page-8-0), [16\]](#page-8-0).

<span id="page-5-0"></span>Fig. 6 XRD patterns of pulverized samples from (a) monolithic  $Al_2O_3$ , (**b**)  $3\text{vol}\%$ CNF/Al<sub>2</sub>O<sub>3</sub>, (c)  $5\text{vol}\%$ CNF/Al<sub>2</sub>O<sub>3</sub> and (**d**)  $10$ vol%CNF/Al<sub>2</sub>O<sub>3</sub> composite materials: the same?  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> powder ( $P_s \sim 0.3 \mu$ m) was used





Fig. 7 SEM photographs for fracture surfaces of (a) monolithic Al<sub>2</sub>O<sub>3</sub>, (b) 5vol%CNF/Al<sub>2</sub>O<sub>3</sub>, and (c) 10vol%CNF/Al<sub>2</sub>O<sub>3</sub> composite materials

4.4 µm was observed in the monolithic  $Al_2O_3$  ceramics (Fig.  $7(a)$ ), indicating that the sintering conditions of 1300°C/5 min/30 MPa was suitable to fabricate dense  $Al_2O_3$  ceramic materials in which  $Al_2O_3$  grains had grown to  $\sim$ 4.4 µm under this condition. Long carbon nanofibers aligned in the  $Al_2O_3$  matrix were recognized in the fracture surfaces of the samples with 5 and 10vol% CNF additions (Fig. 7(b) and (c)), suggesting weak cohesion bonding between CNFs and  $Al_2O_3$  matrix, if strong bonding was formed CNFs could not be pulled-out as easily as this. CNFs bridging grain boundaries showed that the fibers were retained in the composites without any other particles or segregations. Table [1](#page-3-0) shows characteristics of monolithic and composite materials sintered at  $1300 °C$  for 5 min under 30 MPa. Bulk and relative densities decreased gradually with increasing CNF content from 3.96 (99.3) to 3.71 (97.9%)  $Mg·m<sup>-3</sup>$ , indicating that CNF addition suppressed the sinterability of  $Al_2O_3$  powder, however, it should be noted that a high relative density of 99.0% was attained up to 5vol%CNF addition. Average grain size  $(G_s)$ of  $Al_2O_3$  matrix also decreased from 4.4 to 1.6 µm with increasing amount of CNF, revealing that CNF had much effect on grain growth during PECPS as an inhibitor; a

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monolithic material.

 $5\text{vol}\%$ CNF/Al<sub>2</sub>O<sub>3</sub> composite had  $G_s$  less than 1/2 of

From an investigation viewpoint of CNFs addition-effect on  $Al_2O_3$  ceramics, as has been much expected previously but not succeeded yet, mechanical properties of composite materials were evaluated. Figure [8](#page-6-0) displays (a) three-point bending strength  $\sigma_b$ , (b) Vickers hardness  $H_v$ , and (c) fracture toughness  $K_{\text{IC}}$  as a function of CNF volume content. Data present the average values obtained from five test specimens. Bending strength  $\sigma_{\rm b}$  increased monotonously from ~645 (monolithic) to ~800 MPa at 5vol%CNF addition and then decreased gradually to  $\sim$  525 MPa

<span id="page-6-0"></span>

Fig. 8 Mechanical properties of  $CNF/Al_2O_3$  composite materials: (a) three-point bending strength  $\sigma_{b}$ , (b) Vickers hardness  $H_{v}$ , and (c) fracture toughness  $K_{\text{IC}}$  of CNF/Al<sub>2</sub>O<sub>3</sub> composite materials as a function of CNF volume content

 $(10\text{vol}\%CNF/Al_2O_3$  composite). Concerning about flexure strength, or bending strength of inorganic polycrystalline materials, there is a linear relationship between strength s and the inverse square root of grain size  $(G_s^{-1/2})$ ; the smaller  $G<sub>s</sub>$  the higher s if the densities of materials are not changed [[18\]](#page-8-0). Therefore, the former increment might be due to the decrease in  $G_s$  of alumina matrix with the almost same density (Table [1\)](#page-3-0) and the latter reduction could be explained in terms of the poor cohesion force between CNFs and the  $Al_2O_3$  as suggested by Laurent et al. [\[6](#page-8-0)].

On the contrary, hardness  $H_v$  decreased monotonously with increasing CNF content as shown in Fig. 8(b). Although no apparent  $G_s$  dependence of  $H_v$  for  $Al_2O_3$  ceramics with 90–99.9% relative densities was reported by Alpert et al. [[19](#page-8-0)], more recent data of Krell' and Blank [[20](#page-8-0)] on  $Al_2O_3$ with  $G_s$  extending from  $\sim$ 4 down to  $\sim$ 0.4 µm generally agrees with the trends and absolute values of other data reported, clearly showing a substantial increase in  $H_v$  as  $G_s$ decreases. If it could be based on both the latter report and the rule-of-mixtures as indicated in Eq.  $(1)$   $[21]$ ,

$$
H_v(\text{composite}) = (1 - v_f) \times H_v(\text{matrix})
$$
  
+  $v_f \times H_v(\text{second phase})$  (1)

where,  $v_f$  is the volume fraction of second phase or dispersed particles,  $H_v$ (matrix) the intrinsic hardness of matrix, and  $H_v$ (second phase) the hardness of second phase. Here, it must be noted that Eq. (1) is an approximation; it is often a useful tool, but it may not be feasible to adequately incorporate effects of second phase. Increase in  $H_v$  brought by the smaller grain size of matrix might be much more reduced by low  $H_v$ (second phase), i.e.,  $H_v$ (CNF).

Until now the tensile modulus  $(E_t)$  of CNFs (VGCF) was estimated to be 100–300 GPa [[2\]](#page-8-0), however, its intrinsic hardness has not been cleared. On the other hand, it was reported that in inorganic materials  $H<sub>v</sub>$  was proportional to  $E_t$ , i.e.,  $H_v \sim 0.05 E_t$  [[22\]](#page-8-0). The value of  $H_v$ (CNF) calculated from this relation was ~15 GPa; however, this value dose not agree with a negative value estimated from the data shown in Fig.  $8(b)$  and Eq. (1).

An et al. [\[4](#page-8-0)] studied the tribological properties of  $Al_2O_3$ –CNT composites fabricated by hot pressing the mixed powders synthesized in the catalytic decomposition of acetylene over alumina powders impregnated with iron catalysis; they described that a maximum microhardness (19.6 GPa) was observed near  $4wt\%$  (7.67vol $\%$ ) of CNT content and further addition of CNTs lowered the microhardness significantly due to both the difficulty in dispersing CNTs homogeneously in the composites and the problem of the poor cohesion force between CNTs and the matrix. Recently, Vickers hardness  $H_v$  of CNTs/Al<sub>2</sub>O<sub>3</sub> composites fabricated via (i) molecular level mixing process  $[23]$  $[23]$ , (ii) sol–gel process  $[24]$  $[24]$ , and (iii) homogeneously CNTs dispersion to fine alumina powders [[25\]](#page-8-0) have been investigated. The values obtained for the composites prepared by the former two processes are reported to be increased up to 0.5 or 3.0 vol% CNTs addition, respectively, due to an enhanced load sharing of CNTs distributed within the  $Al_2O_3$  grains and the interfacial strength between CNTs and matrix. However, the latter composite gave a reduced  $H<sub>v</sub>$  value. This difference could be explained as follows; due to the agglomeration of CNTs added more than 0.5 or 3.0 vol%, respectively, CNTs of which are located at the  $Al_2O_3$  grain-boundaries,  $H_v$  values of the composites are decreased. However, these phenomena were not observed in the present study, irrespective of homogeneous dispersion of CNFs in the matrix; this might be due to large and thick carbon nanofibers (CNFs) compared with CNTs.

As shown in Fig. 8(c), a little increase was recognized in  $K_{\text{IC}}$  from 4.8 to 5.0 MPa m<sup>1/2</sup> at 3~5vol%CNT addition. Ning et al.  $[26]$  $[26]$  fabricated CNT/SiO<sub>2</sub> composites using MWCTs and 3.1  $\mu$ m SiO<sub>2</sub> glass powders ultrasonicated, and investigated the effect of CNTs addition on the mechanical properties. They reported that  $K_{\text{IC}}$  increased from  $\sim$ 1.0 to  $\sim$ 2.0 MPa m<sup>1/2</sup> with an addition of 5vol%CNT, and then it was lowered to ~1.3 MPa  $m^{1/2}$  by



Fig. 9 Electrical resistivity  $\rho$  (conductivity  $\sigma_e$ ) of CNF/Al<sub>2</sub>O<sub>3</sub> composites as a function of CNF volume fraction  $\phi$  (Al<sub>2</sub>O<sub>3</sub> volume fraction  $f = 1-\phi$ );  $\phi_c$  ( $f_c = 1-\phi_c$ ) is the critical percolation volume of  $\sim$ 0.015 ( $\sim$ 0.985) and t is the exponent  $\sim$ 2.98 for the percolation equation

further addition of 10vol%CNT. This behavior was also observed for the bending strength of the same  $CNT/SiO<sub>2</sub>$ composites. As far as concern of  $CNT/Al<sub>2</sub>O<sub>3</sub>$  composites, Siegel et al. [\[5](#page-8-0)] reported that the  $K_{\text{IC}}$  of 10vol% MWNT addition to nanophase alumina powder in which MWNT was dispersed with an ultrasonic prove, increased by  $\sim$ 24% from  $\sim$ 3.4 to  $\sim$ 4.2 MPa m<sup>1/2</sup>, and explained that by the microstructure observation on the fracture surfaces, damage delocalization had been occurred as a result of homogeneously dispersed nanotubes in the matrix. Laurent et al. [[6\]](#page-8-0) fabricated the CNT–Fe–Al<sub>2</sub>O<sub>3</sub> nanocomposites by hot pressing and measured their fracture strength  $\sigma_f$  and fracture toughness  $K_{\text{IC}}$ . They described that  $\sigma_{\text{f}}$  values (~553 MPa) of most nanocomosites thus fabricated were only marginally higher than that  $(\sim 330 \text{ MPa})$  of Al<sub>2</sub>O<sub>3</sub>, but lower than those (~600 MPa) of corresponding Fe-Al<sub>2</sub>O<sub>3</sub> composites and  $K_{\text{IC}}$  values (3~5 MPa·m<sup>1/2</sup>) were generally markedly lower than those  $({\sim}7.5 \text{ MPa} \cdot \text{m}^{1/2})$  of CNT-free Fe– $Al_2O_3$  composites. By comparing these data with present experimental results, the former two results, i.e., simultaneous increase of  $\sigma_{\rm b}$  and  $K_{\rm IC}$  in the present study, should be noted because as above-mentioned, previous researches reported that CNTs addition to  $Al_2O_3$  did not necessarily enhance their mechanical properties.

Direct current (dc) electrical resistivity ( $\rho_m$ ) of CNF/  $Al_2O_3$  composite materials measured at room temperature were  $>10^{15}$  (0 and 1vol%CNFs),  $\sim 2.2 \times 10^{-1}$  (3vol%),  $\sim 2.1 \times 10^{-2}$  (5vol%), and  $\sim 1.7 \times 10^{-3}$  Qm (10vol%), respectively. Figure 9 illustrates the electrical resistivity  $\rho_{\rm m}$  (electrical conductivity,  $\sigma_{\rm e} = 1/\rho_{\rm m}$ ) of composite materials as a function of CNF volume content  $\phi$  (Al<sub>2</sub>O<sub>3</sub>) volume content,  $f = 1-\phi$ ). This drastic change in  $\rho_m$  ( $\sigma_e$ ) could be explained by percolation theory [[27\]](#page-8-0):  $\rho_m = \rho$  $(Al_2O_3) \times 1$ -  $\phi/\phi_c$ <sup>t</sup> [or  $\sigma_e = \rho (CNF)^{-1} \times 1$ -f/f<sub>c</sub>)<sup>t</sup> ] using  $\rho(CNF)$  of  $1 \times 10^{-6}$  Ωm [[2\]](#page-8-0),  $\rho(Al_2O_3)$  of ~10<sup>16</sup> Ωm [[28\]](#page-8-0), critical percolation volume fraction  $\phi_c$  of ~0.015 ( $f_c = 1 \Phi_c = 0.0985$ , and an exponent for the percolation t of  $\sim$ 2.98. The latter two parameters were determined from the present experimental data. Up to now, alumina has not been processed by an electric discharge machining [[29\]](#page-8-0), which demands a low electrical resistivity bellow  $\sim 1 \times 10^{0}$  $\Omega$ m for materials and by which processing hard bulk materials with precise and complicated shapes can be manufactured in a short time. However, it has been cleared that 3–5vol%CNF added alumina reveals the machinability of electric discharge process despite of deterioration of the mechanical properties. On the other hand, in the present composite materials, there is little difference in  $\rho_{\text{par}}$  measured parallel to and  $\rho_{\text{per}}$  perpendicular to the PECPS pressing direction; for example, in the  $5\text{vol}\% \text{CNF/A1}_2\text{O}_3$ composites, the former and the latter were  $2.14 \times 10^{-2}$  and  $2.10 \times 10^{-2}$  Ωm, respectively. This data also supports the homogeneous dispersion of CNFs in the matrix.

#### **Conclusions**

Dense carbon-nanofiber(CNF)/ $Al_2O_3$  composite materials with addition up to 10 vol%CNF were fabricated by pulsed electric-current pressure sintering at  $1300$  °C for 5 min under 30 MPa in a vacuum. By comparing with monolithic  $Al_2O_3$  ceramics, their microstructures and mechanical properties were evaluated. A small amount of CNFs homogeneously dispersed into fine  $Al_2O_3$  powders with particle size less than  $\sim 0.5$  µm suppressed the grain growth of  $Al_2O_3$  during PECPS from 4.4 (monolithic) to 1.6  $\mu$ m (5vol%CNF). Their bending strength  $\sigma_{\rm b}$  and fracture toughness  $K_{\text{IC}}$  revealed the maximum values, ~800 MPa and  $\sim$  5 MPa·m<sup>1/2</sup>, respectively, near 5vol% addition. On the other hand, Vickers hardness  $H<sub>v</sub>$  decreased monotonously. These experimental results might be interrupted in terms of relatively homogeneous dispersion of CNFs and weak cohesion bonding between CNFs and  $Al_2O_3$  grains. The latter problem is still left to must be solved in future.

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